PTO/SB/21 (08-00) Please type a plus sign (+) inside this box → Approved for use through 10/31/02. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE PECH CENTER 18003 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/756,551 ANSMITTAL Filing Date January 8, 2001 FORM First Named Inventor Casey D. MORROW **Group Art Unit** 1632 Joseph T. Woltach **Examiner Name** or all correspondence after initial filing) TENT 8 Total Humber Of Pages In This Submission Attorney Docket No. 532572000104 **ENCLOSURES** (check all that apply) **Assignment Papers** After Allowance Communication to Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences **Appeal Communication to Group** Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declarations Status Letter **Provisional Application** Other Enclosure(s) (please identify Power of Attorney, Revocation below): Extension of Time Request Change of Correspondence Address Return postcard Terminal Disclaimer **Express Abandonment Request** Request for Refund Information Disclosure Statement; CD, Number of CD(s) PTO form 1449; and 11 References Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Kate H. Murashige, Registration No. 29,959 Morrison & Foerster LLP or 3811 Valley Centre Drive, Suite 500; San Diego, CA 92130-2332 Individual Name Signature

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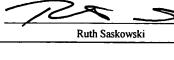
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Casey D. MORROW, et al.

Serial No.: 09/756,551

Filing Date: January 8, 2001

ENCAPSIDATED RECOMBINANT For:

> POLIOVIRUS NUCLEIC ACID AND METHODS OF MAKING AND USING

SAME

Examiner: Joseph T. Woitach

Group Art Unit: 1632

SUPPLEMENTAL INFORMATION DISCLOSURE **STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents, numbers 1-2, 7, 9-10, 12, 15, 20, 25, and 29-30, are also submitted herewith. Copies of all other documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related applications, Serial Number 09/376,184, filed August 17, 1999, Serial Number 08/987,867, filed December 9, 1997, and Serial Number 08/389, 459, filed February 15, 1995, and, accordingly, copies are not included herewith. This protocol conforms

with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

\boxtimes	Within three months of the application filing date or before mailing of a first					
	Office Action on the merits; accordingly, no fee or separate requirements are					
	requi	red.				
	After	After receipt of a first Office Action on the merits but before mailing of a final				
	Office Action or Notice of Allowance.					
		A fee is required. A check in the amount of * is enclosed.				
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is				
		attached to this submission in duplicate.				
		A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly;				
		no fee is believed to be due.				
	After mailing of a final Office Action or Notice of Allowance, but before paymen					
	of the issue fee.					
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in				
		the amount of * is enclosed.				
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee				
		Transmittal form (PTO/SB/17 is attached to this submission in duplicate.				

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>532572000104</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: July 7, 2003

Respectfully submitted,

Kate H. Murashig

Registration No. 29,959

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